

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
UTILITY PATENT APPLICATION TRANSMITTAL
(For new non-provisional applications under 37 CFR § 1.53(b))

| | |
|----------------|---|
| Atty. Dkt. No: | 5681-54100 |
| Inventor(s): | Ajay Kumar |
| Title: | State Data Persistence In A Distributed Computing Environment |

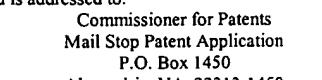
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**CERTIFICATE OF EXPRESS MAIL
UNDER 37 C.F.R. §1.10**

“Express Mail” mailing label number: EV 424916945 US
DATE OF DEPOSIT: April 14, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service “Express Mail Post Office to Addressee” service under 37 C.F.R. §1.10 on the date indicated above and is addressed to:

Commissioner for Patents
 Mail Stop Patent Application
 P.O. Box 1450
 Alexandria, VA 22313-1450


 Derrick Brown

Assignee: Sun Microsystems, Inc.
4150 Network Circle
Santa Clara, CA 95054
U.S.A.

Application Elements

1. Filing Fee

The filing fee is calculated as shown below.

| | | | | | |
|---------------------------|----|------|----|------------------------------------|------------|
| Total Claims | 33 | -20= | 13 | x \$18.00= | \$234.00 |
| Independent Claims | 6 | -3 = | 3 | x \$86.00= | \$258.00 |
| Multiple Dependent Claims | | | | Fee: | \$0.00 |
| | | | | Basic Fee: | \$770.00 |
| | | | | Assignment Fee | \$40.00 |
| | | | | Sub-Total: | \$1,302.00 |
| | | | | Reduction by 50% for Small Entity: | \$0.00 |
| | | | | Total: | \$1,302.00 |

1. The Commissioner is hereby authorized to charge the above fees, and any other fees which may be required, or credit any overpayment to Meyertons, Hood, Kivlin, Kowert & Goetzl, P.C., Deposit Account No. 501505/5681-54100/RCK.
2. Specification
20 page(s) of specification; 7 page(s) of claims, 1 page(s) of abstract
3. Drawings
Figure(s) 1-9 on 9 sheet(s)
4. Oath or Declaration
 - Newly executed
 - Copy from a prior application (see 37 C.F.R. § 1.63(d))
Deletion of Inventor(s) (in continuation or divisional applications):
 Delete the following inventor(s) named in the prior non-provisional application:

The inventor(s) to be deleted are set forth on a signed sheet attached hereto.

5. The entire disclosure of the prior application referred to above is considered to be part of the accompanying application and is hereby incorporated by reference herein.

6. Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 Computer Readable copy
 Paper Copy (identical to computer copy)
 Statement verifying identity of above copies

8. Assignment Papers

9. Power of Attorney
 Is attached.
 The power of attorney appears in the original papers of the prior application.
 Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

10. Information Disclosure Statement (IDS)
 Copies of IDS Citations

11. Amendments
 A preliminary amendment is enclosed.
 Cancel in this application claim(s) _____ before calculating the filing fee. At least one independent claim is retained for filing purposes.

12. Return Receipt Postcard

13. Small Entity Status
 A small entity statement is enclosed.
 A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
 Is no longer claimed.

14. Priority of foreign application number _____, filed on _____ in _____ is claimed under 35 U.S.C. §§ 119(a)-(d)

15. Petition under 37 C.F.R. § 136 for Extension of Time

16. Other: Request and Certification under 122(b)(2)(B)(i)

Address all future correspondence to:

Robert C. Kowert
Customer Meyertons, Hood, Kivlin, Kowert & Goetzl, P.C.
No. 35690 P.O. Box 398
Austin, Texas 78767
Phone: (512) 853-8800 Fax: (512) 853-8801

Signature

Name

Registration No.

Date


Robert C. Kowert

39,255

April 14, 2004

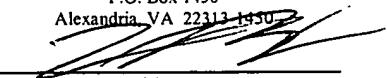
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Derrick Brown

REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)

| | |
|-----------------------|---|
| Atty Docket Number: | 5681-54100 |
| First Named Inventor: | Ajay Kumar |
| Title: | State Data Persistence In A Distributed Computing Environment |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b)

April 14, 2004

Date



Signature

Robert C. Kowert 39,255
Typed or printed name Reg. No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**